



2461:

Attorney Docket No. 82001-0189

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kurt A. ZAREFOSS et al.)
Application No.: 09/965,854) Art Unit: 2161
Filed: October 1, 2001) Examiner: Unknown
For: SYSTEM AND METHOD FOR SUPPLY CHAIN MANAGEMENT, INCLUDING	RECEIVED
COLLABORATION	FEB 0 5 2002
Commissioner for Patents	Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449, which the Examiner may deem relevant to the patentability of the above-identified application. One copy of each of the listed documents is submitted herewith.

This information disclosure statement is being filed before the mailing date of a first Office Action. Therefore, no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

Each item of information contained in the information disclosure statement was first cited in the attached communication from the International Search Authority in PCT Application No. PCT/US01/30370, a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission the listed documents are material or constitute "prior art."

If the Examiner applies the documents as "prior art" against any claims in the application and

Attorney Docket No. Application No.

Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

HOGAN & HARTSON LLP

Dated: February 1, 2002

HOGAN & HARTSON LLP

555 13th Street, N.W. Washington, D.C. 20004 (202) 637-5703

e-mail: cjcrowson@hhlaw.com

Customer No. 24633

By: Celine Jimenez Crowson

Registration No. 40,357 James J. Namiki

Registration No. P51,148

RECEIVED

FEB 0 5 2002

Technology Center 2100



O 1 P E VC 95

Sheet 1 of 1

FORM FIGHT						APPLICATION NO.		
FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OF CHAPTER INFORMATION DISCLOSURE		82001-0189		09/965,854				
	ORMATION DISCLOSURE		ırt A. ZAREFOS	S et al.				
(Use several sheets if necessary)		FILING DATE October 1, 2001		GROUP 2161				
	U.S. P	ATENT DOCUMENTS						
EXAMINER	PATENT ISSUE				FILING DATE			
INITIAL	NUMBER DATE	PATENTEE	CLASS	SUBCLASS	APPROP	RIATE		
	5,930,156 07/27/1999	KENNEDY	364	578	04/08/1998			
	5,931,900 08/03/1999	NOTANI et al.	709	201	08/25/1997			
	6,301,621 B1 10/09/2001	HAVERSTOCK et al.	709	246	06/19/1	998		
					-	·		
						-		
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION DOCUMENT PUBLISHED ABS						ACT		
	NUMBER DATE	COUNTRY	CLASS	SUBCLASS	Yes	No		
			R	ECEI	/ED			
				FEB 0 5	2002 —			
			Tec	hnology Ce	nter 2100) 		
	OTHER DOCUMENTS (Include	ling Author, Title, Date, Pe	ertinent Page	s, Etc.)				
	International Search Report, PCT/US01	/30370, January 11, 2002						
		·						
EXAMINER			DATE CON	SIDERED		_		
EXAMINER: Initial	if citation considered, whether or not cita not considered. Include copy of this form	ition is in conformance with M	PEP 609; Draw	line through ci	tation if not	in		